

# API – Selected Biographies

---



**Francis Y. Chin** joined Smith Barney's Public Finance Department (Citigroup) rising to Department Head and setting an unprecedented record of #1 underwriter in 16 of 20 years. Mr. Chin built a "first-in-class" investment banking platform to America's major providers of infrastructure and pioneered a client-oriented public finance model. He served as member and Chair of the Municipal Securities Rulemaking Board, served Vice Chair of Municipal Bond Market Association, Municipal Division, (now Securities Industry and Financial Markets Association) and is the recipient of many industry honors. Mr. Chin earned his BS in Civil Engineering at Cooper Union, his MS in civil engineering from MIT and his MBA from Harvard University.



**R. Ray Kljajic** joined Smith Barney (Citigroup) as Public Finance Regional Head. He has covered over half the states and senior managed over \$30B in debt largely for infrastructure, including toll roads, highways, transit, public power, airports, public facilities and venues. Mr. Kljajic's P3's experience includes Chicago Skyway (\$1.8B), Indiana Toll Road (\$3.8B), and pioneering P2P's like McCormick Place Hotels (\$575MM) and Indianapolis Water & Sewer sale to Citizens Energy (\$1.9B). He served as liaison to Governors' associations and industry groups. Mr. Kljajic earned his BA from Wabash College majoring in Economics and his MBA from the University of Chicago where he specialized in Finance. He is a member of the Wabash College Board of Trustees.



## API – Selected Biographies

---



**Charles H. Dufresne, Jr.:** Formerly Managing Director with \$5B alternative asset management firm, Sciens Capital Management. Was senior member of investment team, Executive Management Committee; Led infrastructure JV partnerships, incl. water infrastructure, natural gas storage, and data centers. Oversaw firm's hedge fund and long-only businesses; member of the Board of Sciens Sustainable Asset Management. Prior to Sciens, a founding partner & division president at Tiedemann Investment Group (Short-Term Fixed Income Division). A trustee at National Institute of Public Finance (NIPF); served as chair of advisory board & a member of the executive committee of National Association of State Treasurers (NAST). Previously practicing securities lawyer: M & A and capital allocation transactions in alternative investments. He holds a BA in English literature from Emory University, a JD from New York Law School, and an MBA from Fordham University, Gabelli School of Business.

